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By Karen M. Allen

j1002 U.S. PRO  
10/081091  
02/22/02

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

|                              |   |                         |
|------------------------------|---|-------------------------|
| In re Application of:        | ) | Group Art Unit: Unknown |
| PAUL F. COTE <i>ET AL.</i>   | ) | Examiner: Unknown       |
| Serial No.: Unknown          | ) |                         |
| Filed: Concurrently Herewith | ) |                         |
| For: SECURITY LABEL HAVING   | ) |                         |
| SECURITY ELEMENT AND METHOD  | ) |                         |
| OF MAKING SAME               | ) |                         |

INFORMATION DISCLOSURE STATEMENT  
PURSUANT TO 37 C.F.R. § 1.56

Assistant Commissioner  
of Patents  
Washington, D. C. 20231

Dear Sir:

Pursuant to 37 C.F.R. § 1.56, the following patents (copies of which are enclosed) are submitted to the Examiner for consideration:

| <u>Patent No.</u> | <u>Patentee</u>       | <u>Issue Date</u> |
|-------------------|-----------------------|-------------------|
| 4044231           | Beck, <i>et al.</i>   | 08/23/77          |
| 4183989           | Tooth                 | 01/05/80          |
| 4446204           | Kaule, <i>et al.</i>  | 05/01/84          |
| 4511616           | Pitts, <i>et al.</i>  | 04/16/85          |
| 4584529           | Aoyama, <i>et al.</i> | 04/22/86          |
| 4631222           | Sander                | 12/23/86          |

|         |                        |          |
|---------|------------------------|----------|
| 4631223 | Sander                 | 12/23/86 |
| 4652015 | Crane                  | 03/24/87 |
| 4869778 | Cote                   | 09/26/89 |
| 4980569 | Crane, <i>et al.</i>   | 12/25/90 |
| 5016919 | Rotondo                | 05/21/91 |
| 5042842 | Green, <i>et al.</i>   | 08/27/91 |
| 5043201 | Cote                   | 08/27/91 |
| 5093184 | Edwards                | 03/03/92 |
| 5112672 | Kaule, <i>et al.</i>   | 05/12/92 |
| 5113062 | Fujita, <i>et al.</i>  | 05/12/92 |
| 5190318 | Mantegazza             | 03/02/93 |
| 5265916 | Coe                    | 11/30/93 |
| 5279403 | Harbaugh               | 01/18/94 |
| 5284363 | Gartner, <i>et al.</i> | 02/08/94 |
| 5308992 | Crane, <i>et al.</i>   | 05/03/94 |
| 5354099 | Kaule, <i>et al.</i>   | 10/11/94 |
| 5358281 | Greig                  | 10/25/94 |
| 5388862 | Edwards                | 02/14/95 |
| 5394969 | Harbaugh               | 03/07/95 |
| 5417316 | Harbaugh               | 05/23/95 |
| 5419424 | Harbaugh               | 05/30/95 |
| 5457382 | Stein                  | 10/10/95 |
| 5486022 | Crane                  | 01/23/96 |
| 5516153 | Kaule                  | 05/14/96 |
| 5535871 | Harbaugh               | 07/16/96 |
| 5543911 | Jeffers                | 08/06/96 |
| 5583631 | Lazzerini              | 12/10/96 |
| 5599047 | Kaule, <i>et al.</i>   | 02/04/97 |
| 5614824 | Dames, <i>et al.</i>   | 03/25/97 |
| 5697649 | Dames, <i>et al.</i>   | 12/16/97 |
| 5786587 | Colgate, Jr.           | 07/28/98 |
| 5803503 | Kaule, <i>et al.</i>   | 09/08/98 |

Applicant is unaware of art any more pertinent to the above-identified application than the patents cited above.

A "List of Prior Art Cited by Applicant" (PTO Form SB/08A) is enclosed for the Examiner's convenience.

Respectfully submitted,



Mary R. Bonzagni  
Attorney for Applicant  
Registration No. 34779  
Customer No. 27804  
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(413) 567-2076

Date: February 22, 2002

[01135P04]

|   |   |    |   |                          |              |
|---|---|----|---|--------------------------|--------------|
| Substitute for form 1449A/PTO<br><br><b>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</b><br><br><i>(use as many sheets as necessary)</i> |   |    |   | <b>Complete if Known</b> |              |
|   |   |    |   | Application Number       |              |
|   |   |    |   | Filing Date              |              |
|   |   |    |   | First Named Inventor     | PAUL F. COTE |
|   |   |    |   | Group Art Unit           |              |
|   |   |    |   | Examiner Name            |              |
| Sheet   | 1 | of | 2 | Attorney Docket Number   | 01135P       |

1002 U.S. PTO  
 10/081091

| U.S. PATENT DOCUMENTS |              |                      |                                      |  |  |  |
|-----------------------|--------------|----------------------|--------------------------------------|--|--|--|
| Examiner<br>Initials* | Cite<br>No.† | U.S. Patent Document |                                      | Name of Patentee or Applicant<br>of Cited Document | Date of Publication of<br>Cited Document<br>MM-DD-YYYY | Pages, Columns, Lines,<br>Where Relevant<br>Passages or Relevant<br>Figures Appear |
|                       |              | Number               | Kind Code <sup>2</sup><br>(if known) |  |  |  |
|                       |              | 4044231              |                                      | BECK ET AL.  | 08-23-1977   |  |
|                       |              | 4183989              |                                      | TOOTH  | 01-05-1980   |  |
|                       |              | 4446204              |                                      | KAULE ET AL.                                       | 05-01-1984   |  |
|                       |              | 4511616              |                                      | PITTS ET AL.                                       | 04-16-1985   |  |
|                       |              | 4584529              |                                      | AOYAMA ET AL.                                      | 04-22-1986   |  |
|                       |              | 4631222              |                                      | SANDER   | 12-23-1986   |  |
|                       |              | 4631223              |                                      | SANDER   | 12-23-1986   |  |
|                       |              | 4652015              |                                      | CRANE  | 03-24-1987   |  |
|                       |              | 4869778              |                                      | COTE   | 09-26-1989   |  |
|                       |              | 4980569              |                                      | CRANE ET AL.                                       | 12-25-1990   |  |
|                       |              | 5016919              |                                      | ROTONDO  | 05-21-1991   |  |
|                       |              | 5042842              |                                      | GREEN ET AL.                                       | 08-27-1991   |  |
|                       |              | 5043201              |                                      | COTE   | 08-27-1991   |  |
|                       |              | 5093184              |                                      | EDWARDS  | 03-03-1992   |  |
|                       |              | 5112672              |                                      | KAULE ET AL.                                       | 05-12-1992   |  |
|                       |              | 5113062              |                                      | FUJITA ET AL.                                      | 05-12-1992   |  |
|                       |              | 5190318              |                                      | MANTEGAZZA   | 03-02-1993   |  |
|                       |              | 5265916              |                                      | COE  | 11-30-1993   |  |
|                       |              | 5279403              |                                      | HARBAUGH   | 01-18-1994   |  |
|                       |              | 5284363              |                                      | GARTNER ET AL.                                     | 02-08-1994   |  |

[illegible]

|                       |  |                    |  |
|-----------------------|--|--------------------|--|
| Examiner<br>Signature |  | Date<br>Considered |  |
|-----------------------|--|--------------------|--|

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449A/PTO

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

*(use as many sheets as necessary)*

**Complete if Known**

Application Number

Filing Date

|                      |              |
|----------------------|--------------|
| First Named Inventor | PAUL F. COTE |
|----------------------|--------------|

**Group Art Unit**

Examiner Name

Attorney Docket Number 01135P

Sheet

2

of

2

U.S. PATENT DOCUMENTS

| Examiner<br>Initials* | Cite<br>No.1 | U.S. Patent Document |                          | Name of Patentee or Applicant<br>of Cited Document | Date of Publication of<br>Cited Document<br>MM-DD-YYYY | Pages, Columns, Lines,<br>Where Relevant<br>Passages or Relevant<br>Figures Appear |
|-----------------------|--------------|----------------------|--------------------------|--|--|--|
|                       |              | Number               | Kind Code2<br>(if known) |  |  |  |
|                       |              | 5308992              |                          | CRANE ET AL.                                       | 05-03-1994   |  |
|                       |              | 5354099              |                          | KAULE ET AL.                                       | 10-11-1994   |  |
|                       |              | 5358281              |                          | GREIG  | 10-25-1994   |  |
|                       |              | 5388862              |                          | EDWARDS  | 02-14-1995   |  |
|                       |              | 5394969              |                          | HARBAUGH   | 03-07-1995   |  |
|                       |              | 5417316              |                          | HARBAUGH   | 05-23-1995   |  |
|                       |              | 5419424              |                          | HARBAUGH   | 05-30-1995   |  |
|                       |              | 5457382              |                          | STEIN  | 10-10-1995   |  |
|                       |              | 5486022              |                          | CRANE  | 01-23-1996   |  |
|                       |              | 5516153              |                          | KAULE  | 05-14-1996   |  |
|                       |              | 5535871              |                          | HARBAUGH   | 07-16-1996   |  |
|                       |              | 5543911              |                          | JEFFERS  | 08-06-1996   |  |
|                       |              | 5583631              |                          | LAZZERINI  | 12-10-1996   |  |
|                       |              | 5599047              |                          | KAULE ET AL.                                       | 02-04-1997   |  |
|                       |              | 5614824              |                          | DAMES ET AL.                                       | 03-25-1997   |  |
|                       |              | 5697649              |                          | DAMES ET AL.                                       | 12-16-1997   |  |
|                       |              | 5786587              |                          | COLGATE, JR.                                       | 07-28-1998   |  |
|                       |              | 5803503              |                          | KAULE ET AL.                                       | 09-08-1998   |  |
|                       |              |                      |                          |  |  |  |
|                       |              |                      |                          |  |  |  |

## FOREIGN PATENT DOCUMENTS

[illegible]

Examiner  
Signature

Date \_\_\_\_\_

Considered

<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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